

FORM PTO-1449

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number

39477/RRT/S850

Application Number

09/690,796

Filing Date

October 17, 2000

Applicant(s)

Craig L. Ogg

Group Art Unit

~~2161~~ 3621

Examiner Name

~~To be assigned~~ S. Cangiolo

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## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MC	4,725,718	02/1988	Sansone et al.	235	495	
MC	4,743,747	05/1988	Fougere et al.	235	494	
MC	4,812,994	03/1989	Taylor et al.	364	464.02	
MC	4,853,865	08/1989	Sansone et al.	364	464.02	
MC	4,933,849	06/1990	Connell et al.	364	400	
MC	5,142,577	08/1992	Pastor	380	21	
MC	5,341,505	08/1994	Whitehouse	395	800	
MC	5,666,421	09/1997	Pastor et al.	380	51	
MC	5,793,867	08/1998	Cordery et al.	380	4	
MC	5,801,944	09/1998	Kara	364	464.2	
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MC	5,953,427	09/1999	Cordery et al.	380	51	
MC	5,987,441	11/1999	Lee et al.	705	401	
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MC	6,005,945	12/1999	Whitehouse	380	51	
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S. Cangiolo

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SC	4,802,218	01/1989	Wright et al.	380	23	
SC	4,935,961	06/1990	Gargiulo et al.	380	21	
SC	5,918,234	06/1999	Shah et al.	707	204	

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EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
SC	0 840 258	05/1998	European Patent Office	/	/	✓	
SC	0 927 958	07/1999	European Patent Office	/	/	✓	
SC	0 948 158	10/1999	European Patent Office	/	/		X
SC	0 927 963	07/1999	European Patent Office	/	/	✓	
SC	WO99/18514	04/1999	WIPO	/	/	✓	
SC	WO98/57302	12/1998	WIPO	/	/	✓	
SC	WO94/27258	11/1994	WIPO	/	/		

**OTHER DOCUMENTS**

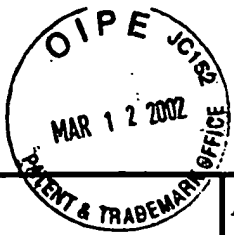
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
SC	United States Postal Service, "Information Based Indicia Program Postal Security Device Specification," XP-002137734, Entire Document (21 Sheets), 06/1996
SC	International Search Report, International Application No. PCT/US00/41203, 02/2001

EXAMINER SIGNATURE	DATE CONSIDERED
S. George Ios	3/05
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Examiner Name

~~S. Cangialosi~~  
~~To be assigned~~

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
SR		US 4,831,555 A	05-16-1989	Sansone et al.
GR		US 4,900,903 A	02-13-1990	Wright et al.
SR		US 4,900,904 A	02-13-1990	Wright et al.
SR		US 4,908,770 A	03-13-1990	Breault et al.
GR		US 5,111,030 A	05-05-1992	Brasington et al.
SR		US 5,454,038 A	09-26-1995	Cordery et al.

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER SIGNATURE	S. Cangialosi	DATE CONSIDERED	3/05
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Craig L. Ogg

Group Art Unit

~~2161~~ 3621

Examiner Name

To be assigned S. Cangrelosi

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
gu		5,319,562	06-07-1994	Whitehouse
sh		5,768,132	06-16-1998	Cordery et al.

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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

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**OTHER DOCUMENTS**

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Group Art Unit	3621
Examiner Name	To Be Assigned S. Congrelosi

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U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
SC		5,796,841	08-18-1998	CORDERY, et al.
SC		6,233,565 B1	05-15-2001	LEWIS, et al.
SC		6,341,274 B1	01-22-2002	LEON
SC		6,381,589 B1	04-30-2002	LEON
SC		6,424,954 B1	07-23-2002	LEON
SC		2001/0034716 A1	10-25-2001	GOODWIN
SC		2002/0023057 A1	02-21-2002	GOODWIN, et al.

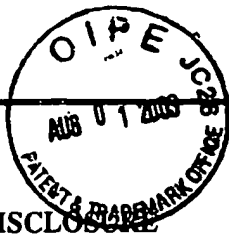
FOREIGN PATENT DOCUMENTS				
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				T <sup>6</sup> (✓)

OTHER DOCUMENTS		
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SC	✓	PASTOR, Jose; CRYPTOPOST™ - A Cryptographic Application to Mail Processing; Journal of Cryptology; 1991; 137-146pp.; Vol. 3; Number 2; International Association for Cryptologic Research
SC	✓	The United States Postal Service (USPS) Engineering Center; INFORMATION BASED INDICIA PROGRAM (IBIP) INDICIUM SPECIFICATION; June 13, 1996; 22pp.
SC	✓	The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR CLOSED IBI POSTAGE METERING SYSTEMS (PCIBI-C); January 12, 1999; 49pp.
SC	✓	The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR OPEN IBI POSTAGE EVIDENCING SYSTEMS (PCIBI-O); June 25, 1999; 76pp.

EXAMINER SIGNATURE	S. Congrelosi	DATE CONSIDERED	3/05
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<b>FORM PTO/SB/08A/B (10-01)</b> Substitute for PTO-1449A/B  <b>INFORMATION DISCLOSURE</b>  <b>STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	<b>Attorney Docket Number</b>	39477/RRT/S850
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	<b>Applicant(s)</b>	Craig L. Ogg
	<b>Group Art Unit</b>	2161 3621
	<b>Examiner Name</b>	To Be Assigned S. Cangialosi

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
MC	✓	TYGAR, J.D. and YEE, Bennet; Cryptography: It's Not Just For <i>Electronic</i> Mail Anymore; School of Computer Science; March 1, 1993; 1-21pp.; Carnegie Mellon University, Pittsburg, PA, USA
MC	✓	TYGAR, J.D. and YEE, Bennet; Dyad: A System for Using Physically Secure Coprocessors; School of Computer Science; May 4, 1991; 1-36pp.; Carnegie Mellon University, Pittsburg, PA, USA
MC	✓	U.S. Application Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125 pp.
MC	✓	U.S. Application Serial No. 09/688,451 filed October 16, 2000, "Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.
MC	✓	U.S. Application Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.
MC	✓	U.S. Application Serial No. 09/688,456 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.
MC	✓	U.S. Application Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.
MC	✓	U.S. Application Serial No. 09/690,083 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.
MC	✓	U.S. Application Serial No. 09/690,243 filed October 17, 2000, "Method and Apparatus for On-Line Value-Bearing Item System", 66pp.
MC	✓	U.S. Application Serial No. 09/692,746 filed October 18, 2000, "Method and Apparatus for Digitally Signing an Advertisement Area Next to a Value-Bearing Item", 61pp.
MC	✓	U.S. Application Serial No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.
MC	✓	U.S. Application Serial No. 09/788,069 filed February 16, 2001, "On-Line Value-Bearing Indicium Printing Using DSA", 43pp.
MC	✓	U.S. Application Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.

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	Group Art Unit	2161 3621
	Examiner Name	<del>To Be Assigned</del> S. Cangiari/05

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
me		4,837,702	06-06-1989	OBREA
me		5,671,146	09-23-1997	WINDEL, et al.
me		5,917,924	06-29-1999	HERBERT
me		6,005,945	12-21-1999	WHITEHOUSE
me		6,415,983 B1	07-09-2002	ULVR, et al.
me		6,567,794 B1	05-20-2003	CORDERY, et al.

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EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

OTHER DOCUMENTS		
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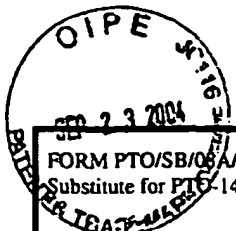
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Sheet 1 of 1



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Group Art Unit

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Examiner Name

Salvatore A. Cangialosi

U.S. PATENT DOCUMENTS

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SC		4,447,890	05-08-1984	Duwel et al.
SC		4,757,537	07-12-1988	Edelmann et al.
SC		4,933,849	06-12-1990	Connell et al.
SC		4,949,381	08-14-1990	Pastor
SC		4,980,542	12-25-1990	Jackson et al.
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SC		5,058,008	10-15-1991	Schumacher
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SC		5,570,465	10-29-1996	Tsakanikas
SC		5,598,477	01-28-1997	Berson
SC		5,600,562	02-04-1997	Guenther
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SC		5,742,683	04-21-1998	Lee et al.

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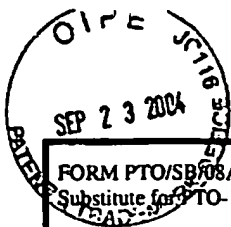
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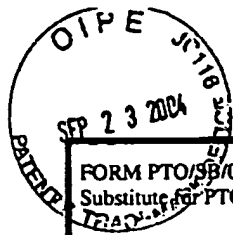
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
SC		5,781,438	07-14-1998	Lee et al.
SC		5,781,634	07-14-1998	Cordery et al.
SC		5,812,990	09-22-1998	Ryan, Jr. et al.
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**INFORMATION DISCLOSURE**

**STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	39477/SAH/S850
Application Number	09/690,796
Filing Date	October 16, 2000
Applicant(s)	Craig L. Ogg
Group Art Unit	3621
Examiner Name	Salvatore A. Cangialosi

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	<b>Group Art Unit</b>	3621
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FOREIGN PATENT DOCUMENTS					
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